



Think Investments. Think Kotak®

# COMMON APPLICATION FORM

6th Floor, Kotak Infinity, Building No. 21,  
Infinity Park, Off W. E. Highway,  
Goregaon - Mulund Link Road,  
Malad (East), Mumbai - 400067  
☎ 022-6638 4400  
✉ mutual@kotak.com  
www.kotakmutual.com

Investment Advisor's Name & ARN	Sub-Broker's Name & ARN Sub-Broker \ LG Code	Official Acceptance Point	Bank Sr. No.	Appl. CA
	ARN-73623 LG	Stamp & Sign		Date : DD / MM / YYYY

## 1. EXISTING UNITHOLDER INFORMATION [Refer Guideline 2(a)]

If you have, at any time, invested in any Scheme of Kotak Mahindra Mutual Fund and wish to hold your present investment in the same Account, please furnish your Name, Account Number and PAN details below and proceed to Section 4.

Name of Sole / First Holder: \_\_\_\_\_ Account No.: \_\_\_\_\_ / \_\_\_\_\_

## 2. NEW APPLICANT'S PERSONAL INFORMATION [Refer Guideline 2]

**SOLE/FIRST APPLICANT**

Name: \_\_\_\_\_ Date of Birth: DD / MM / YYYY

**GUARDIAN (in case Sole / First Applicant is a minor)**

Name: \_\_\_\_\_

**CONTACT PERSON (in case of Non-individual applicants)**

Name: \_\_\_\_\_ Designation: \_\_\_\_\_

**SECOND APPLICANT (Joint Holder 1)**

Name: \_\_\_\_\_

**THIRD APPLICANT (Joint Holder 2)**

Name: \_\_\_\_\_

**MODE OF OPERATION (where there is more than one applicant)**

First Holder only  Anyone or Survivor  Joint

**PAN AND KYC COMPLIANCE STATUS DETAILS (Mandatory)**

PAN Sole / First Applicant KYC Compliance Status* <input type="checkbox"/> PAN Proof # <input type="checkbox"/> Yes <input type="checkbox"/> No	PAN Second Applicant KYC Compliance Status* <input type="checkbox"/> PAN Proof # <input type="checkbox"/> Yes <input type="checkbox"/> No	PAN Third Applicant KYC Compliance Status* <input type="checkbox"/> PAN Proof # <input type="checkbox"/> Yes <input type="checkbox"/> No
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(#Please attach PAN Card Copy) / (\*KYC allotment letter copy is mandatory for investment => Rs. 50,000/-)

**Status (Please ✓)**

- Resident Individual
- NRI on Repatriation Basis
- NRI on Non-Repatriation Basis
- HUF
- Proprietorship
- Partnership Firm
- Private Limited Company
- Public Limited Company
- Mutual Fund
- Mutual Fund FOF Scheme
- Body Corporate
- Registered Society
- PF/Gratuity/Pension/ Superannuation Fund
- Trust AOP / BOI
- Foreign Institutional Investor
- On behalf of Minor
- Other \_\_\_\_\_ (Please specify)

**Occupation (Please ✓) (Mandatory)**

- Business
- Service
- Professional
- Retired
- Housewife
- Student
- Agricultur
- Other \_\_\_\_\_ (Please specify)

**ADDRESS FOR COMMUNICATION (Mandatory)**

City: \_\_\_\_\_ Pin Code: \_\_\_\_\_ State: \_\_\_\_\_ (Cell) \_\_\_\_\_

E-mail \_\_\_\_\_ (Tel.) \_\_\_\_\_ (Fax) \_\_\_\_\_

**OVERSEAS ADDRESS (Mandatory for Non-Resident applicants) Address for Correspondence (Please ✓)**  Indian  Overseas

City: \_\_\_\_\_ Zip Code: \_\_\_\_\_ State: \_\_\_\_\_

Country: \_\_\_\_\_ Nationality: \_\_\_\_\_



(To be filled by Applicant)

## ACKNOWLEDGEMENT SLIP

Received from \_\_\_\_\_ Appl. CA  
an application for allotment of units in the following scheme :

Investment Details	Instrument Details	Amount
Scheme _____	No. _____ Dated DD / MM / YYYY Rs. _____	
Plan _____	Bank & Branch _____	
Option _____		

Please retain this slip, duly acknowledged by the Official Collection Center till you receive your Account Statement

Official Acceptance Point Stamp & Sign



### 3. BANK ACCOUNT DETAILS (MANDATORY)

[Refer Guideline 3]

Name of Bank \_\_\_\_\_  
 Branch \_\_\_\_\_ City \_\_\_\_\_  
 Account No. \_\_\_\_\_  
 RTGS IFSC \_\_\_\_\_  
 NEFT IFSC \_\_\_\_\_  
 MICR Code \_\_\_\_\_  
This is the 9 digit No. next to your Cheque No.  
 Account Type :  Current  Savings  NRO  NRE  FCNR  Others

#### DIRECT CREDIT

We offer a Direct Credit Facility currently with the following banks for paying out Dividend and Redemption Proceeds to you faster.

- ABN AMRO Bank
- Deutsche Bank
- IndusInd Bank
- Axis Bank
- HDFC Bank
- Kotak Mahindra Bank
- Centurion Bank of Punjab
- HSBC
- Standard Chartered Bank
- Citibank
- ICICI Bank
- Yes Bank
- Corporation Bank
- IDBI Bank

If your bank account is with any of these banks, or any other banks which may be added in future we will directly credit your dividend / redemption proceeds into the same. In case new banks are added the facility will be extended to those investor with that bank mandate.

If, however, you wish to receive a cheque payout, please tick the box alongside.

### 4. INVESTMENT DETAILS (Attach separate cheque for each Investment)

[Refer Guideline 4]

Sl. No.	Scheme Name / Frequency	Plan / Option / Sub-option	Amount Invested (Rs.)	Net Amount Paid (Rs.)	Payment Details	
					Cheque / DD No.	Bank and Branch
1		<input type="checkbox"/> Growth <input type="checkbox"/> Dividend <input type="radio"/> P <input type="radio"/> R	Less DD Charges			
2		<input type="checkbox"/> Growth <input type="checkbox"/> Dividend <input type="radio"/> P <input type="radio"/> R	Less DD Charges			
3		<input type="checkbox"/> Growth <input type="checkbox"/> Dividend <input type="radio"/> P <input type="radio"/> R	Less DD Charges			

If you are an NRI Investor, please indicate source of funds for your investment (Please ✓)

NRE  NRO  FCNR  Others \_\_\_\_\_ (Please specify)

### 5. NOMINATION DETAILS (to be filled in by Individual(s) applying Singly or Jointly)

[Refer Guideline 5]

I/We \_\_\_\_\_ and \_\_\_\_\_ do hereby nominate the undermentioned Nominee to receive the Units to my/our credit in Account No./Application No. \_\_\_\_\_ in the event of my/our death. I/We also understand that all payments and settlements made to such Nominee and signature of the Nominee acknowledging receipt thereof, shall be a valid discharge by the AMC/ Mutual Fund / Trustee.

#### DETAILS OF NOMINEE

Name of Nominee	Address	Date Of Birth	% Share	Signature Of Nominee

#### DETAILS OF GUARDIAN (to be furnished in case Nominee is a minor)

Name of Guardian	Address	Tel. No	Signature Of Guardian

### 6. E-MAIL COMMUNICATION

[Refer Guideline 6]

I / We would like to receive the following communication by E-Mail: [Please ✓]

Please furnish you Email ID :  Account Statement  Transaction Confirmation

Your E-mail ID here

By providing your Email ID, you accept to receive all the above communication through E-mail only.

### 7. DECLARATION AND SIGNATURES

[Refer Guideline 7]

I/We have read and understood the contents of the Offer Document(s) / SAI/ SID of the respective scheme(s) of Kotak Mahindra Mutual Fund. I/We hereby apply for allotment / purchase of Units in the Scheme(s) indicated in Section 4 above and agree to abide by the terms and conditions applicable thereto. I/We hereby declare that I/We are authorised to make this investment in the abovementioned Scheme(s) and that the amount invested in the Scheme(s) is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions of the provisions of Income Tax Act, Anti Money Laundering Act, Anti Corruption Act or any other applicable laws enacted by the Government of India from time to time. I/We hereby authorise Kotak Mahindra Mutual Fund, its Investment Manager and its agents to disclose details of my investment to my / our Investment Advisor and / or my bank(s) / Kotak Mahindra Mutual Fund's bank(s). I/We have neither received nor been induced by any rebate or gifts, directly or indirectly, in making this investment.

**Applicable to NRIs seeking repatriation of redemption proceeds:** I/We confirm that I am/ we are Non-Resident(s) of Indian Nationality / Origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/our NRE / FCNR Account.

SIGNATURE(S)

Sole / First Applicant	Second Applicant	Third Applicant
(To be signed by <b>All Applicants</b> )		

Please tick if the investment is operated as POA / Guardian  POA  Guardian

PAN of POA Holder / Guardian

PAN

KOTAK MAHINDRA MUTUAL FUND  
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We are at your service on 1800-222-626 from 9.30 a.m. to 6.30 p.m. (Monday to Friday)